

06-08-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-002  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 8407M  
First Inventor Robert Henry Rohrbaugh  
Assignee The Procter & Gamble Company  
Title Coating Compositions For Modifying Hard Surfaces  
Express Mail Label No. EK160952770US

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
(see 37 CFR §1.27)
3. ☒ Specification Total Pages [89]  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC §113) Total Sheets [4]
5. Oath or Declaration Total pages ☐
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 18 complete)
    - i. ☐ **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR §1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in the preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No. 09,182,804  
Group/Art Unit: .....

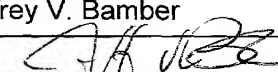
Prior application information: Examiner: .....

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here 27752)

NAME	Jeffrey V. Bamber			
	The Procter & Gamble Company			
	Ivorydale Technical Center			
ADDRESS	5299 Spring Grove Avenue, Box 474			
CITY	Cincinnati	STATE	Ohio	ZIP CODE 45217
COUNTRY	USA	TELEPHONE	513-626-6645	FAX 513-626-1933

Name (Print/Type)	Jeffrey V. Bamber	Registration No. (Attorney/Agent)	31,148
Signature		Date	June 7, 2001

† Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	
Confirmation Number	
Filing Date	June 7, 2001
First Named Inventor	Robert Henry Rohrbaugh
Examiner Name	
Group/Art Unit	
Attorney Docket No.	8407M

TOTAL AMOUNT OF PAYMENT \$2,520

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480

Deposit Account Name The Procter &amp; Gamble Company

- ☒ Charge Any Additional Fee Required Under status. See 37 CFR §127 37 C.F.R. §§1 16 and 1 17

## FEE CALCULATION

### 1. BASIC FILING FEE – Large Entity

Code	(\$)	Fee Description	Fee Paid
101	710	Utility filing fee	710
106	320	Design filing fee	
107	490	Plant filing fee	
108	710	Reissue filing fee	
114	150	Provisional filing fee	

SUBTOTAL (1) \$710

### 2. EXTRA CLAIM FEES – Large Entity

	Extra Claims	Below Fee	Fee Paid
Total Claims	[70] - 20** = [50]	x [18]	= 900
Independent Claims	[11] - 3** = [8]	x [80]	= 640
Multiple Dependent		[1]	270

\*\* or number previously paid, if greater, For Reissues, see below

Code	(\$)	Fee Description
103	18	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claim, if not paid
109	80	**Reissue independent claims over original patent
110	18	**Reissue claims in excess of 20 & over original patent

SUBTOTAL (2) \$1,810

## FEE CALCULATION (continued)

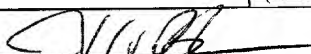
### 3. ADDITIONAL FEES

Code	(\$)	Fee Description	Fee Paid
105	130	Surcharge-late filing fee or oath	
127	50	Surcharge-late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	Requesting publication of SIR prior to Examiner's action	
113	1,840*	Requesting publication of SIR after Examiner's action	
115	110	Extension for reply within 1 <sup>st</sup> month	
116	390	Extension for reply within 2 <sup>nd</sup> month	
117	890	Extension for reply within 3 <sup>rd</sup> month	
118	1,390	Extension for reply within 4 <sup>th</sup> month	
128	1,890	Extension for reply within 5 <sup>th</sup> month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,240	Petition to revive - unintentional	
142	1,240	Utility issue fee (or reissue)	
143	440	Design issue fee	
144	600	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of Information Disclosure Statement	
146	710	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	For each additional invention to be examined (37 CFR §1.129(b))	
179	710	Request for Continued Examination (RCE)	
169	710	Request for expedited examination of a design application	
		Other fee (specify)	
		Other fee (specify)	

\* Reduced by Basic Filing Fee Paid

SUBTOTAL(3) (\$)

## SUBMITTED BY

Name (Print/Type)	Jeffrey V. Bamber	Registration No. (Attorney/Agent)	31,148	Telephone	(513) 626-6645
Signature		Date	June 7, 2001		

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